

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re Application of: :

Veazey, S. E. :

Serial No.: 10/731,263 :

Filing Date: December 8, 2003 :

For: MODULAR SHIPS FOR TRANS- :
PORTATION AND INSTALLATION :
OF PRECAST MODULAR INTER- :
MODAL CONCRETE SHAPES :

Docket No: SEV-5DIV2

Group Art Unit: 3641

Examiner: TBD

Mail Stop Patent Application
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22303-1450

CERTIFICATION OF MAILING

I hereby certify that this correspondence is being
deposited with the U.S. Postal Service as First Class
Mail in an envelope addressed to the Commissioner for
Patents, P.O. Box 1450, Alexandria, VA 22313-1450

on: Mar. 4, 2004

J. K. Poole 3/4/04 (Sig./date)

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to the provisions of 37 C.F.R. §1.56 and in
accordance with Applicant's duty of disclosure requirements,
Applicant hereby calls to the attention of the Patent and
Trademark Office the items listed on the attached Forms PTO-
1449 to be made of record in the above-identified
application.

The present Information Disclosure Statement is being
filed within three months of the filing date of the present
application; accordingly, no filing or petition fee is
believed to be due.

Applicant presents these references so that the Patent



Office may, in the first instance, determine any materiality thereof to the claimed invention. See 37 C.F.R. 1.97(b), (c) and (d), 1.104(a) and 1.106(b) concerning the PTO duty to consider and use any such art. Applicant respectfully requests that these references be expressly considered during the prosecution of this application and made of record herein and appear among the "References Cited" on any patent to issue therefrom.

Under current regulations and MPEP 609, no copies of the U.S. patent references cited need be provided in this divisional or continuing application. Copies of non-patent references are provided to illustrate the state of the art in relevant areas such as cargo containers and floating drydocks. For the mutual convenience of the Examiner and Applicant's undersigned Attorney, a "parts list" naming the enumerated components in the drawings is attached.

Respectfully submitted,

James K. Poole

James K. Poole
Attorney for Applicant
Registration No.: 30,676

P. O. Box 925
Loveland, CO 80539
(970) 472-5061
FAX: (970) 472-5041

Attachments: Forms PTO-1449 (3) and parts list

Substitute for form 1449A/PTO

(use as many sheets as necessary)

Sheet 1 of 3

Complete if Known

Application Number	10/731,263
Filing Date	December 8, 2003
First Named Inventor	S.E. Veazey
Art Unit	3641
Examiner Name	
Attorney Docket Number	SEV-5DIV2

Examiner Initials*	Cite No. 1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
		US- 6,491,473	12-2002	Veazey, Sidney E.	
		US- 5,908,265	06-1999	Mostkoff, Benjamin J.	
		US- 5,697,736	12-1997	Veazey et al.	
		US- 5,178,493	01-12-1993	Vidal et al.	
		US- 5,158,395	10-27-1992	Holmberg	
		US- 5,087,150	02-11-1992	McCreary	
		US- 4,964,750	10-1990	House et al.	
		US- 4,940,364	07-10-1990	Dlugosz	
		US- 4,914,876	04-10-1990	Forsberg	
		US- 4,911,585	03-27-1990	Vidal et al.	
		US- 4,820,079	04-1989	Wheeler, Jack L.	
		US- 4,712,944	12-1987	Rose, Leo J.	
		US- 4,643,618	02-17-1987	Hilfiker et al.	
		US- 4,607,985	08-26-1986	Matsushita	
		US- 4,440,527	04-03-1984	Vidal	
		US- 4,375,928	03-08-83	Crow et al.	
		US- 4,165,197	08-21-1979	Postma	
		US- 4,152,875	05-08-1979	Soland	
		US- 3,969,900	07-1976	Plodowski, Tomasz	
		US- 3,957,098	05-18-1976	Hepworth et al.	

[illegible]

Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Under the Paperwork Reduction Act of 1995, no person shall be required to provide information on this form unless it displays a valid control number.			
Substitute for form 1449A/PTO			
INFORMATION DISCLOSURE STATEMENT BY APPLICANT			
(use as many sheets as necessary)			
Sheet	2	of	3

Complete if Known	
Application Number	10/731,263
Filing Date	December 08, 2003
First Named Inventor	S.E. Veazey
Art Unit	3641
Examiner Name	
Attorney Docket Number	SEV-5DIV2

[illegible][illegible]

Examiner Signature	Date Considered
--------------------	-----------------

Signature

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

2 See Class Codes of USPTO Patent Documents at www.uspto.gov or MPEP

1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 6 Applicant is to place a check mark here if

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	10/731,263
		Filing Date	December 8, 2003
		First Named Inventor	S.E. Veazey
		Group Art Unit	3641
		Examiner Name	
Sheet	3	of	3
		Attorney Docket Number	SEV-5DIV2

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	A	B & W photocopy of floating drydock containing docked cruise ship.	
	B	B & W photocopy of supply boat which could be fitted to carry precast concrete boxes on after cargo deck	
	C	B & W photocopy of tug pushing barge	
	D	Color photoprint showing (1) apparent floating breakwater; (2) loaded container ship; (3) MSC cargo ship offloading container to barge by crane; and (4) container on ship deck with ISO fitting on corner.	

Examiner Signature		Date Considered	
-------------------------------	--	----------------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.